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7	IN THE UNITED STATES DISTRICT COURT	
8	NORTHERN DISTRICT OF CALIFORNIA	
9 10	SAN JOSE DIVISION	
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12	ALAN CHEN, an individual,) Case No.:
13	Plaintiff,	COMPLAINT FOR DAMAGES
14	VS.) 1. Excessive Force – 42 U.S.C. § 1983
15	TRUSTEES OF THE CALIFORNIA STATE UNIVERSITY , a California Corporation;	 2. Failure to Provide Reasonable Post- Arrest Care - 42 U.S.C. § 1983
16	SAN JOSE STATE UNIVERSITY POLICE DEPARTMENT, a division of a California) 3. Negligence
17	Corporation; OFFICER SEAN FARRELL , an individual; OFFICER JONATHON	4. Battery
18	SILVA, an individual; OFFICER MUNIR ADAIS, an individual; OFFICER TASSIO,) 5. Intentional Infliction of Emotional
19	an individual; and OFFICER BUCKOVIC , and individual.	Distress
20	Defendants.) Jury Demanded
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22	Nature of Action	
23	1. This action is brought to redress the unlawful violation of Plaintiff Alan	
24	Chen's right to be free from excessive force, and his right to freedom of speech, as	
25	protected by 42 U.S.C §1983 et. seq. and the United States Constitution, and for	
26	associated state intentional tort claims arising from a common set of operative facts.	
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Jurisdiction and Venue

2. The jurisdiction of this Court is predicated on 28 U.S.C. §§ 1331, 1343, and 1367.

3. This Court has jurisdiction over this action as this is a matter dealing with Federal Statutes and Constitutional Provisions, and each of the individuals and/or entities listed as defendants reside, work, and/or can be found within Santa Clara County, California. The jurisdiction of this Court is predicated upon the fact that this Court has an interest in ensuring the rights of its citizens are protected and the events and rights involved in this action involve the application of federal law, including, but not limited to 42 U.S.C. 1983, et seq. and common law torts.

4. Venue and jurisdiction is proper in this Court and in this district in that the events and conduct alleged in this complaint occurred in Santa Clara County and involved parties who reside or work in Santa Clara County. The proper venue for this action is the Federal District Court for the Northern District of California, San Jose Division.

<u>Parties</u>

5. Plaintiff **Alan Chen** is an individual, and was at all times relevant to this action, residing within the City of San Jose, County of Santa Clara, State of California and a citizen of the United States.

6. Defendant Trustees of the California State University, is a California
Corporation, operating as San Jose State University in Santa Clara County, State of
California, and with numerous divisions including Defendant San Jose State University
Police Department which is a department of the California State University System
practicing law enforcement and public safety.

7. Defendant **Officer Sean Farrell (F2680)** is and was, at all times relevant to this action, an employee of the San Jose State University Police Department, working as a police officer acting under color of authority for the California State University Police Department through Penal Code § 830.2 and Education Code § 89560.

8. Defendant **Officer Johnathon Silva (S0473)** is and was, at all times relevant to this action, an employee of the San Jose State University Police Department, working as a police officer acting under color of authority for the California State University Police Department through Penal Code § 830.2(c) and Education Code § 89560.

9. Defendant Officer **Officer Munir Edais (E7489)** is and was, at all times relevant to this action, an employee of the San Jose State University Police Department, working as a police officer acting under color of authority for the California State University Police Department through Penal Code §830.2 and Education Code §89560.

10. Defendant **Officer Buckovic** is and was, at all times relevant to this action, an employee of the San Jose State University Police Department, working as a police officer acting under color of authority for the California State University Police Department through Penal Code §830.2 and Education Code §89560.

11. Defendant **Tassio** (T5301) is and was, at all times relevant to this action, an employee of the San Jose State University Police Department, working as a police officer acting under color of authority for the California State University Police Department through Penal Code § 830.2 and Education Code § 89560.

12. Plaintiff does not know the true names and or capacities of Defendants not named in this complaint. Plaintiff will amend this complaint to state the true names and capacities of these fictitiously named Defendants when, and if, they are ascertained.

13. Plaintiff is informed and believes and thereon alleges that these fictitiously named individuals are legally responsible in some manner for the acts and omissions set forth below and are therefore liable to Plaintiff for the relief requested.

14. At all times relevant and mentioned herein, unless otherwise stated, each Defendant was the agent and/or employer of every other Defendant, and in doing the things, acts and omissions alleged below, was acting within the scope and authority of its agency and/or employment. All actions of each Defendant alleged herein were

ratified and approved by the officers, supervisors, and managing agents of each of the other Defendants.

Specific Factual Allegations Regarding the Incident

15. On the evening of April 17, 2015, San Jose State University student Alan Chen was standing in line waiting to attend an event at the San Jose Event Center.

16. Chen was standing in line with several friends and had a valid ticket to the event taking place.

17. While standing in line, one of Chen's friends became involved in an argument with San Jose Event Center security staff.

18. Chen was not involved in any kind of argument with Event Center staff until it became clear that Chen and his friends were <u>all</u> being precluded from the event.

19. At that point in time, Chen began to complain that he was being barred from the event because of something someone else was doing or based on what others had said.

20. Nevertheless, staff escorted Chen out of line and down the front stairs of the Event Center without incident and without any resistance by Chen.

21. After leaving the Event Center, Chen decided to return home and began to walk in the direction of his apartment.

22. Chen's apartment was in the same direction as the end of the line of people waiting to enter the San Jose Event Center. While walking in that direction, Chen stopped and spoke with several other friends of his who were standing in line and waiting to get into the event. Chen was explaining what had occurred.

23. Defendant Silva and Defendant Edais walked up to Chen. Defendant Edais whistled at him and stated, "Sup Bro...Didn't they tell you to go?"

24. At that moment, Defendants Edais and Silva grabbed Plaintiff by the arms and bicep area.

25. Neither Defendant identified that they were police officers, or the reason
³ they were grabbing Plaintiff.

Defendants then began pulling on Plaintiff saying "Let's go, we're going this
 way."

27. Defendants collectively then violently hurtled Plaintiff into the concrete pavement. Both the contact with Plaintiff and the takedown of Plaintiff occurred in less than five seconds.

28. Because of the <u>sheer</u> force of Defendants' conduct, Plaintiff was flung into the concrete walkway face first.

29. Immediately upon impact, Plaintiff was rendered unconscious. Despite this state, Defendants began yelling "stop resisting" and "give me your hands."

30. At no point in the interaction between Plaintiff and Defendants did Plaintiff engage in any form of resistance, as Plaintiff was disoriented, and in and out of consciousness.

31. Just after this, Defendant Farrell also engaged in unnecessary force against Plaintiff by stepping on him and holding him down despite the fact that he was unconscious and not resisting.

32. During this time, Plaintiff was punched and physically assaulted by at least three officers. Based on information and belief, Plaintiff believes the offending officers were those that were most closely located to him, including Farrell, Edais, and Silva.

33. Additional officers were standing around as this was occurring and were also assisting in the arrest of Plaintiff, including Defendants Buckovic and Tassio.

34. Shortly after this, Plaintiff regained consciousness and he began to question Defendants' actions what was going on. Plaintiff was still disoriented and foggy because of the head injury he had just sustained.

35. Defendants determined to remove Plaintiff from the sight of concertgoers who were standing mere feet away from Defendants when the force was used. Defendants did not, at that time, determine to contact ambulatory assistance to pick Plaintiff up and were more concerned with their activities and conduct being seen and documented by bystanders. Defendants were more interested in bringing Plaintiff to the
 booking area and the jail than in getting him immediate medical assistance he required.

36. Many bystanders witnessed the entire encounter and saw the excessive force take place.

37. At that time, Plaintiff was dragged by his handcuffs to a waiting police car by Edais and Silva and was forced in the back violently and without regard to his injuries.

38. No medical services were provided to Plaintiff this point in time while he was dragged and seated in the back of the police car.

39. Rather, while seated in the back of the police car, Plaintiff began to spit up and cough up blood. Plaintiff was also bleeding from several areas including his mouth.

40. Upon noticing this, several Defendants, including, Edais, Buckovic, and Tassio began to yell at Plaintiff to stop bleeding and spitting up blood in the police cruiser.

41. Plaintiff could hear Defendants Edais, Buckovic, and Tassio, and others making fun of him, saying "Oh man, look what you did to his face," "look at the job you did to his face and teeth," and "hey, stop bleeding all over my car." These were not the only comments made to Plaintiff.

42. Based on information and belief, Defendants Edais, Buckovic, and Tassio then placed a bag over Plaintiff's head which choked Plaintiff and caused extreme neck pain. This was done solely to prevent further bleeding in the police car. It is believed that these actions were taken by Edais, Buckovic, and Tassio as they were the officers that were monitoring Plaintiff until he was transported for medical assistance.

43. While the bag was on Plaintiff's head, an officer pulled the bag to force Plaintiff into an upright position on rather than have Plaintiff lay on his side in the police car. This is still all while Plaintiff was in handcuffs. This pulling action while handcuffed was extremely painful to Plaintiff. Plaintiff is unaware of the name of the person who performed this particular act because he had a bag on his head Based on information and belief, Plaintiff believes it to be one of the three officers that were with Plaintiff before
 medical services arrived, Edais, Buckovic, and Tassio.

44. Plaintiff was then driven to an area where he was delivered to paramedics. Only after he was in the ambulance were the handcuffs and bag removed from his face.

45. At this point, Plaintiff had pain all over his body and it was difficult to walk without assistance.

46. Plaintiff began asking the paramedics if he could leave and go home. Plaintiff was told no by the paramedics and was transported to Valley Medical Center.

47. Plaintiff remained on a stretcher, out in the cold in the parking lot of Valley Medical Center, while he waited for medical assistance.

48. At one point, after arriving at Valley Medical Center, Plaintiff was allowed to enter the hospital to use the restroom. When Plaintiff attempted to walk to the restroom, he began to realize how badly injured he was.

49. Eventually, around 11:30 p.m., and after being let out in the cold, for an extended period of time, Plaintiff was allowed to leave.

50. The following day, Plaintiff had bruises all over his body, headaches, neck and back pain, scratches all over his body, swollen elbows, swollen knees, and swelling on his face. Plaintiff also suffered two broken, cracked, and chipped teeth. All of these injuries were the result of the force applied by Defendants.

51. The following day, April 18, 2015, Plaintiff sought emergency dental treatment and the two injured teeth required dental implants to be inserted which were expensive and caused Plaintiff to suffer financial loss. These dental implants were required based on the injuries caused by Defendants' use of excessive force.

24 52. Plaintiff also sought treatment from the SJSU Student Health Center on
25 April 20, 2015

53. Plaintiff sought medical treatment for his remaining injuries at the San Jose State Health Center.

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1 54. Plaintiff was later charged with drunk in public, and resisting arrest 2 amongst other charges.

55. The charges were filed on May 11, 2015 by the District Attorney for Santa Clara County.

56. Plaintiff filed a California Government Claim Form with Defendant Trustees 5 of the California State University on October 8, 2015. 6

57. The Government Claim was rejected by the Systemwide Risk Management Department of the Trustees of the California State University ("Trustees of the CSU") on November 19, 2015.

The criminal case was resolved and charges were pending until and 58. 10 including September 23, 2016.

> **First Cause of Action** Violation of Fourth Amendment -Right to be Free from Excessive Force 42 U.S.C. § 1983 **Against All Individual Defendants**

Plaintiff incorporates ¶1 through ¶ 58 as if fully set forth herein. 59.

60. All Defendants in this cause of action acted under color of law as San Jose State University Police Officers and acted under the authority of the San Jose State University Police Department, under the Trustees of the CSU. Defendants are sued in their individual capacities.

61. Plaintiff, as an arrestee, had the rights and privileges of the Fourth Amendment to be free from unreasonable seizures and force.

62. Defendants, and each of them, were integral participants in the excessive force and unreasonable actions involving the use of force employed against Plaintiff and/or failed to prevent or intervene in any manner in the unlawful and excessive force.

63. The force, as alleged earlier, caused severe damage to Plaintiff's teeth, head, and face.

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64. The force applied included unnecessary leg sweeps, forcing Plaintiff face first into the ground, punching Plaintiff, stepping on Plaintiff, and in other physical force which was unnecessary under the circumstances.

65. Defendants' acts and/or omissions were done willfully, deliberately, maliciously, and with a reckless or deliberate disregard for Plaintiff's constitutional rights. Plaintiff is therefore entitled to punitive or exemplary damages.

66. Defendants, and each of them, employed and engaged in unnecessary and excessive force against Plaintiff by the way they applied force against him during the initial arrest and takedown, and based on the force used after the arrest.

67. Defendants, and each of them, were grossly negligent and risked serious physical injury to Plaintiff, who was not fighting or resisting Defendants and had no time to even determine what was happening. Defendants that did not physically restrain Plaintiff did not take any affirmative action to stop the conduct or intervene to protect Plaintiff from this unlawful attack.

68. Defendants' actions or omissions were a substantial factor in causing the Plaintiff harm.

69. As a direct and proximate result these actions Plaintiff was damaged in an amount to be proven at trial. Plaintiff has suffered damages, including but not limited to: pain and suffering; medical/dental expenses; emotional distress; attorneys' fees; costs of suit; and other damages to be proven at the time of trial.

<u>Second Cause of Action</u> Violation of Fourth Amendment – Failure to Provide Reasonable Post-Arrest Care 42 U.S.C. § 1983 Against Edais, Silva, and Farrell

70. Plaintiff incorporates ¶1 through ¶ 69 as if fully set forth herein.
71. All Defendants in this cause of action acted under color of law as San Jose
State University Police Officers and acted under the authority of the San Jose State

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University Police Department, under the Trustees of the CSU. Defendants are sued in
 their individual capacities.

72. Plaintiff, as an arrestee, had the rights and privileges of the Fourth Amendment to necessary and proper medical care following the Defendants' use of force against him.

73. The force, as alleged earlier, caused severe damage to Plaintiff's teeth, head, and face.

74. Defendants not only failed to immediately and effectively call for medical care, but certain Defendants delayed the medical care from being administered to Plaintiff by forcing him into the back of a patrol car and placing a bag over his face causing him to choke on his own blood.

75. Defendants were required to promptly seek medical care and ensure that Plaintiff 's injuries were not exacerbated during the time he remained in police custody.

76. Defendants were deliberately indifferent to the severity of his need for medical attention, took actions which are contrary to seeking immediate medical care, and failed to ensure that Plaintiff's medical needs were addressed without further injury.

77. Defendants' actions or omissions were a substantial factor in causing Plaintiff harm.

78. As a direct and proximate result of these actions, Plaintiff was damaged in an amount to be proven at trial. Plaintiff has suffered damages, including but not limited to: pain and suffering; medical/dental expenses; emotional distress; attorneys' fees; costs of suit; and other damages to be proven at the time of trial.

Third Cause of Action

Negligence Against All Defendants

79. Plaintiff incorporates ¶1 through ¶ 78 as if fully set forth herein.
80. All Defendants in this cause of action acted under color of law as San Jose
State University Police Officers and acted under the authority of the San Jose State

University Police Department, under the Trustees of the CSU. Defendants are sued in
 their individual capacities.

81. Defendants have a duty to exercise reasonable care in the manner and type of the force applied in the situation with Plaintiff. Defendants have a duty to ensure they are reasonable and not negligent in their use of force, as well as in their post-arrest treatment of Plaintiff while he remained in their custody. Defendants' actions would not have been done by a reasonably prudent officer in similar circumstances. Defendants have a duty to only use that amount of force that is reasonably necessary and in accordance with procedures approved by law.

82. Defendants breached this duty by slamming Plaintiff into the ground without warning, without any resistance from Plaintiff, and without any reasonable need to do so. Defendants' actions constituted a disregard for established police procedures for ensuring the safety of arrestees and preventing unreasonable force.

83. Defendants breached their duty by failing to exercise due care in the use of force, failing to promptly seek medical treatment, and/or failing to prevent the unreasonable use of force by other officers.

84. Defendants breached their duty by resorting to force in a scenario that required no force at all given the wrong that was alleged against Plaintiff attempting to get back in line at a concert.

85. At all times, the individual Defendants were acting within the course and scope of their employment provided by Defendant San Jose State University Police Department and Defendant Trustees of the California State University.

86. As a direct and proximate result these actions, Plaintiff was damaged in an amount to be proven at trial. Plaintiff has suffered damages, including but not limited to: pain and suffering; medical/dental expenses; emotional distress; attorneys' fees; costs of suit; and other damages to be proven at the time of trial.

Fourth Cause of Action

Battery Against Edais, Silva, Farrell, SJSUPD, Trustees of the CSU

87. Plaintiff incorporate ¶1 through ¶ 86 as if fully set forth herein.

2 88. Defendants touched Plaintiff by physical force with intent to harm or offend
3 Plaintiff.

89. Plaintiff did not consent to be touched by Edais, Silva, or Farrell.

90. Defendants committed a battery through the following actions which include, but are not limited to: grabbing Plaintiff's wrists; leg sweeping and throwing Plaintiff into the ground; punching and stepping on Plaintiff; piling on and pulling on Plaintiff with a baton; and throwing Plaintiff in an aggressive manner. These actions were done when Plaintiff was not resisting and/or was unconscious.

91. Plaintiff was not threatening or resisting the Defendants during their attack on him.

92. Defendants did make offensive contact with the Plaintiff, and Plaintiff was harmed by the contact which a reasonable person would find objectionable and offensive.

93. The force used to make contact was excessive and unreasonable and did injure the Plaintiff in an amount to be proven at trial.

94. At all times, the individual Defendants were acting within the course and scope of their employment provide by Defendant San Jose State University Police Department and Defendant Trustees of the California State University.

95. As a direct and proximate result these actions Plaintiff was damaged in an amount to be proven at trial. Plaintiff has suffered damages, including but not limited to: pain and suffering; medical/dental expenses; emotional distress; attorneys' fees; costs of suit and other damages to be proven at the time of trial.

Fifth Cause of Action

Intentional Infliction of Emotional Distress Against Edais, Silva, Farrell, SJSUPD, Trustees of the CSU

96. Plaintiff incorporates ¶1 through ¶ 95 as if fully set forth herein.
97. Defendants' conduct in using excessive force and exacerbating and Plaintiff's injuries was outrageous as described above.

Case 5:17-cv-02046-NC Document 1 Filed 04/12/17 Page 13 of 13 98. Defendants either intended to cause emotion distress to Plaintiff and/or

acted with reckless disregard to the probability that Plaintiff would suffer emotional distress.

99. Plaintiff suffered emotional distress as a result of this incident.

100. Defendants' conduct as described above, was a substantial factor in causing severe emotional distress to Plaintiff.

101. At all times the individual Defendants were acting within the course and scope of their employment provide by Defendant San Jose State University Police Department and Defendant Trustees of the California State University.

102. As a direct and proximate result these actions Plaintiff was damaged in an amount to be proven at trial. Plaintiff has suffered damages, including but not limited to: pain and suffering; medical/dental expenses; emotional distress; attorneys' fees; costs of suit; and other damages to be proven at the time of trial.

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WHEREFORE, Plaintiff demands judgment from the Defendants for:

1. Actual damages suffered in an amount to be determined by the evidence presented at trial, but in no event less than the jurisdictional minimum of this court.

2. Consequential damages, including but not limited to: attorney's fees and costs incurred to pursue this litigation.

3. Punitive damages in an amount to be determined by the evidence presented at trial, and/or nominal damages in the amount of \$1.00.

4. Costs of suit and an award of attorney's fees pursuant to California Civil Code § 42 U.S.C. 1988 which are intended to protect and benefit the public against violations of their civil rights.

5. Such other and further relief as this Court may deem appropriate under the circumstances.

|| Dated: April 12, 2017

BUSTAMANTE & GAGLIASSO, APC

Steven M. Berki, Counsel for Plaintiff

/s/