1 2 3 4 5	LEGAL DIVISION Department of Social Services Office of Chief Counsel JAMES D. SIMON Assistant Chief Counsel SEAN P. AVAOS, State Bar No. 204361 Senior Staff Attorney 744 P Street, MS 19-161 Sacramento, CA 95814 Telephone Number: (916) 263-2135 Facsimile Number: (916) 274-6371		
7	Attorneys for Complainant		
8	BEFORE THE DEPARTMENT OF SOCIAL SERVICES STATE OF CALIFORNIA		
10			
11	IN THE MATTER OF:		
12	THE 12 th St. COLLABORATIVE FOR MONTESSORI	CDSS No. 7008142001 OAH No.	
13	dba Sacramento Montessori School 414 12 th Street	ACCUSATION	
14	Sacramento, CA 95814	(Revocation Action)	
15	SACRAMENTO MONTESSORI SCHOOL-INFANT CARE CENTER	CDSS No. 7008142001B	
16	414 12 th Street Sacramento, CA 95814	ACCUSATION (Revocation Action)	
17		(**************************************	
18	SACRAMENTO MONTESSORI SCHOOL 414 12 th Street	CDSS No. 7008142001C	
19	Sacramento, CA 95814	STATEMENT OF ISSUES	
20	Respondent.	(Denied Application – Increase in Capacity)	
21			
22	JURISDICTION		
23	This matter arises under the California Child Day Care Facilities Act,		
24	Health and Safety Code section 1596.70 et seq., which governs the licensing and		
25	operation of child day care centers.		
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- 2. The regulations which govern the licensing and operation of child day care centers are contained in California Code of Regulations, title 22, section 101151 et seq.¹
- 3. The California Department of Social Services (hereafter "the Department") is the agency of the State of California responsible for the licensing and inspection of child day care centers.
- 4. Administrative proceedings before the Department must be conducted in conformity with the provisions of the California Administrative Procedure Act, Chapter 5, Government Code section 11500 *et seq.*
- 5. Pursuant to Health and Safety Code section 1596.854, the Department may institute or continue a disciplinary proceeding against a licensee following the suspension, expiration, or forfeiture of a license.
- 6. Pursuant to Health and Safety Code section 1596.854, the Department may institute or continue a denial proceeding against an applicant following the withdrawal of an application for a license.
- 7. Pursuant to Health and Safety Code section 1596.887(b) and 1596.889, the standard of proof to be applied in this proceeding is the preponderance of evidence.

THE PARTIES

- 8. Complainant **JO FREDERICK** is the Deputy Director of the Community Care Licensing Division of the Department. Pursuant to Government Code section 11503, complainant files this Accusation in her official capacity.
- 9. Respondent, THE 12th STREET COLLABORATIVE FOR

 MONTESSORI (hereafter "Respondent") is licensed by the Department to operate a child care center and infant care center at 111-1123 D Street, Sacramento, California, 95814 (hereafter "facilities"). The facilities were initially licensed on September 30,

Subsequent references to any regulation section(s) are to Title 22 of the California Code of Regulations.

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2005. A copy of Respondent's most recent licenses setting forth the capacity, limitations, and effective dates accompanies this Accusation as ATTACHMENT A and is incorporated by reference.

- 10. On or about May 6, 2008, Respondent filed an application for a license to increase the capacity of its child care center - from 45 children to 72 children. On or about May 15, 2008, Respondent's application was denied, and Respondent appealed that denial. A copy of Respondent's application, the denial of that application, and Respondent's appeal accompanies this Statement of Issues as ATTACHMENT B and is incorporated by reference.
- 11. Respondent, by virtue of licensure and application for licensure, is subject to the statutes and regulations governing the licensing and operation of child day care centers. Copies of the applicable statutes and regulations accompany this Statement of Issues as ATTACHMENT C and are incorporated by reference.

FACTUAL ALLEGATIONS

SUBJECT MATTER:

Overcapacity; Staffing Ratios; Unqualified Staff; Lack of Care

and Supervision and Personal Rights

APPLICABLE LAW:

Health and Safety Code section 1596.885

Regulation sections 101161(a); 101179(a)&(b); 101214;

101216.3(a); 101223(a)(2); 101229; 101416.2 (b) and

101416.5(b)

ALLEGATIONS:

12. Specific hire dates unknown to complainant, but up to and including September 3, 2008, Respondent hired nine unqualified infant care teachers to work in the infant room. To be a fully qualified infant care teacher, a teacher must complete an infant care class. The teachers hired by Respondent failed to meet this requirement. (Section 101416.2 (b).)

- 13. On or about September 3, 2008, Respondent failed to meet the required staffing ratios. Respondent had only one qualified infant teacher supervising 16 infants. (Section 101416.5(b).)
- 14. On or about May 21, 2008, Respondent exceeded the child care center's licensed capacity by 18 children. The center is licensed for 45 children. There were 63 children in care. (Section 101161(a).)
- 15. On or about May 19, 2008, Respondent exceeded the child care center's licensed capacity by four children. The center is licensed for 45 children. There were 49 children in care. (Section 101161(a).)
- 16. On or about May 6, 2008, Respondent exceeded the child care center's licensed capacity by 13 children. The facility is licensed for 45 children. There were 58 children in care. (Section 101161(a).)
- 17. On or about May 6, 2008, Respondent failed to meet the required staffing ratios. Respondent had only seven infant teachers supervising 48 infants. (Section 101416.5.)
- 18. As a factor in aggravation, on or about March 27, 2007, Complainant held a non-compliance conference. Respondent outlined a plan to bring the facilities into compliance. Respondent was warned that any additional citations could result in administrative action.
- 19. On or about January 5, 2007, Respondent exceeded the infant care center's licensed capacity by four infants. The infant care center is licensed for 48 infants. There were 52 infants in care. (Section 101161(a).)
- 20. On or about September 15, 2006, Respondent failed to meet the required staffing ratios. Respondent had a single staff member supervising five infants. (Section 101416.5(b).)

SUBJECT MATTER: Lack of Care and Supervision and Personal Rights

APPLICABLE LAW: Health and Safety Code section 1596.885

Regulation sections 101214; 101223(a)(2) and 101229

ALLEGATIONS:

- 21. Respondent failed to provide adequate care and supervision of children in care and in doing so violated the children's personal rights, as follows:
 - a. On or about September 3, 2008, two preschool aged boys were playing outside unsupervised on a second story deck.
 - b. On or about June 5, 2008, a child was left unsupervised in the play yard for at least seven minutes. Staff were unaware the child was missing from the classroom.
 - c. On or about May 6, 2008, an infant was unsupervised in the sleeping room.
 - d. On or about September 27, 2006, a child was unsupervised in the bathroom.
 - e. On or about April 14, 2006, a child was in a classroom unsupervised. The child hung his upper body out an unscreened window of a second story classroom.
 - f. On at least one occasion in April 2006, children were unsupervised in the sleeping room during nap time when the teacher fell asleep.
 - g. As a factor in aggravation, on or about March 27, 2007, Complainant held a non-compliance conference. Respondent outlined a plan to bring the facilities into compliance. Respondent was warned that any additional serious citations could result in administrative action.
 - h. On or about February 7, 2006, a child was left unsupervised in a classroom during outside playtime.

SUBJECT MATTER:

Criminal Record Clearance

APPLICABLE LAW:

Health and Safety Code section 1596.885

Regulation sections 101170(a), (d)–(f) and 101214

ALLEGATIONS:

- 24. On or about January 29, 2007, Respondent allowed an employee to work in the facility before obtaining, or requesting a transfer of, the employee's criminal record clearance.
- 25. On or about September 27, 2006, Respondent allowed an employee to work in the facility before obtaining, or requesting a transfer of, the employee's criminal record clearance.
- 26. On or about September 15, 2006, Respondent allowed an employee to work in the facility before obtaining, or requesting a transfer of, the employee's criminal record clearance.

SUBJECT MATTER:

Isolation and Personal Rights

APPLICABLE LAW:

Health and Safety Code section 1596.885

Regulation sections 101214; 101223(a)(2) and 101226.2(a)

ALLEGATIONS:

27. On or about November 20, 2006, Respondent failed to isolate a sick child. The sick child was allowed to remain in the classroom with other healthy children.

CAUSE FOR DISCIPLINE

28. The facts alleged in paragraphs 12 through 27, individually and/or jointly, constitute violations of licensing laws. These facts provide cause, pursuant to Health and Safety Code section 1596.885(a)-(b) to revoke Respondent's license to operate a child care center and infant center; and to deny Respondent's application to increase its licensed capacity – child care center.

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29. The facts alleged in paragraphs 12 through 27, individually and/or jointly, constitute conduct by Respondent which is inimical to the health, morals, welfare, or safety of either an individual in or receiving services from the facility or the people of this state. These facts provide cause, pursuant to Health and Safety Code section 1596.885(c), to revoke the license to operate the child care center and infant center; and to deny Respondent's application to increase its license capacity – child care center.

PETITION FOR RELIEF

- 30. WHEREFORE, complainant requests that, THE 12th St.

 COLLABORATIVE FOR MONTESSORI's licenses to operate a child care center and infant care center be revoked.
- 31. WHEREFORE, complainant requests that the Department's order denying, **THE 12**th **St. COLLABORATIVE FOR MONTESSORI's** application for an increase in capacity child care center be affirmed.

DATED:

JAN 28 2009

O FREDERICK

Deputy Director

Community Care Licensing Division

California Department of Social Services

DELEGATION

- 1. I hereby delegate to Robert Pate, as Acting Chief of Technical Assistance and Policy Branch; Jeffrey Hiratsuka, as Chief of Central Operations Branch, Ben Partington, as Program Administrator of Senior Care Program, Gloria Merk, as Program Administrator of Child Care Program, Sergio Ramirez, as Acting Program Administrator of Children's Residential Program, JoAnn Hirai, as Chief of Investigations Branch and John Rodriquez, as Chief of Continuing Care Contracts Branch; my power to issue the following administrative pleadings under the Administrative Procedure Act, Government Code Section 11500 et seg.:
 - (a) Accusations and orders for temporary license suspension prior to hearing, pursuant to Health and Safety Code Sections 1550, 1550.5, 1568.082, 1569.50, 1569.51, 1569.885, or 1596.886.
 - (b) Statements of Issues pursuant to Health and Safety Code Sections 1526, 1568.063, 1569.22, or 1596.879.
 - (c) Orders to require that an employee or prospective employee of a facility not work or be present in a facility pending a final decision of the matter, pursuant to Health and Safety Code Sections 1558, 1568.066, 1569.58, or 1596.8897. They may exercise this power when, in their opinion, the action is necessary to protect the residents or clients from physical or mental abuse, abandonment, or any other substantial threat to the health or safety of the residents or clients.
- 2. These delegations are made pursuant to Government Code Section 7. They shall remain in effect until explicitly revoked.

Director

California Department of Social Services

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LEGAL DIVISION 1 Department of Social Services Office of Chief Counsel 2 JAMES D. SIMON Assistant Chief Counsel 3 SEAN P. AVALOS, State Bar No. 204261 Senior Staff Counsel 4 744 P Street, M.S. 19-161 Sacramento, CA 95814 5 Telephone Number: (916) 263-2136 Facsimile Number: (916) 2746371 6 Attorneys for Complainant 7 BEFORE THE 8 DEPARTMENT OF SOCIAL SERVICES STATE OF CALIFORNIA 9 10 IN THE MATTER OF: 11 THE 12th St. COLLABORATIVE CDSS No. 7008142001 FOR MONTESSORI OAH No. 12 dba Sacramento Montessori School 1123 12th Street 13 Sacramento, CA 95814 14 SACRAMENTO MONTESSORI CDSS No. 7008142001B SCHOOL-INFANT CARE CENTER 1123 12th Street 15 Sacramento, CA 95814 16 17 SACRAMENTO MONTESSORI SCHOOL CDSS No. 7008142001C 1123 12th Street 18 Sacramento, CA 95814 STIPULATION, WAIVER 19 Respondent AND ORDER 20 RESPONDENT, The 12th Street Collaborative For Montessori, having 21 obtained the counsel of Joshua Kaizuka, has been fully advised of the charges of the 22 23 Accusation in this matter (a copy of which is attached hereto as Exhibit 1 and incorporated herein by reference), and hereby enters into the following Stipulation with 24 the COMPLAINANT, JEFFREY HIRATSUKA, as a means of achieving a full and final 25 resolution of the Accusation in lieu of an evidentiary hearing and decision. 26 27

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Respondent and complainant hereby stipulate and agree as follows:

- FINDINGS: Respondent admits the allegations in the First Amended 1. Accusation and agrees that for purposes of this matter and all other matters between the Department and the Respondent, the allegations of the Accusation are found to be true and to constitute a legally sufficient basis to revoke Respondent's license.
- 2. DENIAL: INCREASE IN CAPACITY: Respondent's application for an increase in licensed capacity of its child care center located at 1123 D 12th Street, Sacramento, CA 95814, is denied upon the Department's adoption of this Stipulation and Waiver as its Order. Respondent may submit an application for an increase in capacity one year from the Stipulation's effective date. The Department shall conduct a good faith review of Respondent's application and approve the application if Respondent meets all statutory and regulatory requirements. If Respondent fails to meet all requirements or Respondent has violated probation, the application shall be denied and Respondent shall be prohibited from re-applying for an increase in capacity for the duration of probation. Respondent shall have appeal rights as provided in paragraph 6.
- 3. REVOCATION: STAYED WITH PROBATION: Respondent's licenses are revoked upon the Department's adoption of this Stipulation and Waiver as its Order. The revocation of the licenses shall be STAYED, for 18 months during which time the Respondent shall be granted probationary licenses subject to the following limitations and conditions.
 - Respondent shall ensure that its facilities do not exceed their A. licensed capacity.

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- В Respondent shall ensure that all children in care are properly supervised at all times as provided in California Code of regulations, title 22, section 101229. 1
 - Respondent shall ensure its facilities maintain staffing ratios as provided in sections 101216.3 and 101416.5.
 - D. Respondent shall report to the Licensing office the following: any unusual incident including, but not limited to, client death or injury which requires medical treatment, any suspected physical or psychological abuse of any client, any physical plant changes, all unexplained absences and any incident that would result in the violation of the above paragraphs. These incidents must be reported by the next working day, and a written report of the incident must be submitted within seven days following the accurrence of the incident.
 - The written report shall be completed and signed by an (1)employee that witnesses the incident and/or the facility director.
 - During the period of probation, the Department in its sole discretion E. may conduct unannounced site visits for the purpose of determining whether there is full compliance with the regulations and statutes governing the operation of a child care center.
 - Respondent shall ensure that all individuals working, residing or F. volunteering in the facility shall obtain criminal record clearances or exemptions and shall maintain proof of such criminal record clearances or exemptions at the facility.
 - Respondent is required to maintain an accurate and current client G. roster which must be made available to the Department upon request.

Subsequent references to any regulation section(s) are to Title 22 of the California Code of Regulations.

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- H. Respondent shall maintain daily attendance sheets. These daily attendance sheets shall be kept in the facilities' files and made available for Department review.
- I. Respondent shall hire a qualified independent consultant to review each facility's plan of operation manual and staff training manual. The consultant shall inspect the building and grounds and observe daily operations of each facility. After the review, inspection and observation, the independent consultant shall make recommendations to ensure compliance with Title 22, including but not limited to the following areas: the care and supervision of clients, client capacity, staffing ratios, and the hiring and training employees.
 - (1) The consultant shall not have any current or former associations with Respondent or the facility. The consultant's name and curriculum vitae shall be submitted to the Department within 30 days of the effective date this Stipulation for approval. The consultant must be approved by the local licensing office.
 - Respondent and the Department on a quarterly basis. The report shall evaluate the strength and weakness of the areas identified in paragraph I. The report shall identify recommendations made to the licensee during the current quarter. The report shall list recommendations from the previous quarter and provide feedback on effectiveness of the implementation. The report shall include a list of recommended training topics for the next quarter training calendar.
 - (3) The first quarterly report shall be completed within 90 days of the effective date of this Stipulation.

	(4)	Respondent shall have one quarter after receiving the
consulta	nt's rep	ort to implement recommendations made by the consultant
Failure to	impler	nent a recommendation shall constitute a basis for the
Departm	ent to re	evoke probation.

- J. Within 90 days of the effective date of this Stipulation and Order, Respondent shall submit to the licensing office a written summary of hiring and training practices, including job descriptions for each position at the facility.
- K. Respondent shall provide training to all facility staff on applicable Title 22 regulations as recommended by the independent consultant, but at minimum, bi-annually. Training topic shall include those identified in the facilities' staff training manual. Respondent shall prepare a training outline and sign-in sheet for each training topic. Respondent shall keep the outline and sign-in sheet. Respondent agrees to keep a record of staff who attends such trainings and to make such records available to Licensing staff upon request.
 - (1) Respondent shall amend its staff training manual within 30 days of receiving the recommendation by the consultant, and to include the following training topics.
 - a. Guidelines for Supervision of Children. This training shall be held as recommended by the consultant, but not less than once a calendar year. If the training on supervision of children has not been held in the last six months of the effective date of the Stipulation and Order, the first training shall be held before October 31, 2009.

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b. Health and Safety Issues in the Early Childhood Program. This training shall be held as recommended by the consultant, but not less than once a calendar year. If the training on supervision of children has not been held in the last six months of the effective date of the Stipulation and Order, the first training shall be held before October 31, 2009.

- C. Any additional training as recommended by the independent consultant.
- Respondent shall make the water feature identified in paragraph 23 of the Accusation inaccessible to children as described in section 101238(e)(1).
 - (1) In the alternative, Respondent may add rocks to the artificial stream so that the water level remains below the level of the rocks. Respondent shall inspect the water feature daily to ensure the artificial stream's water does not rise above the level of the rocks. Respondent shall add rocks and groom the artificial stream as needed to avoid the pooling of water.

FUTURE APPLICATION FOR A LICENSE AND CERTIFICATION

Licensure and Certification: Respondent shall not apply for, received or hold any license or certification to operate any care facility licensed by the Department of Social Services including but not limited to any community care facility (CCF), certified family home (CFH), residential care facility for the elderly (RCFE), residential care facilities for persons with chronic, life-threatening illnesses (RCFCI) or child day care facility (CDCF) (as CCF, CFH, RCFCI, RCFE, and CDCF are defined in sections 1502(a), 1506(d), 1568, 1568.01, 1569.2, and 1596.750 of the Health and Safety Code) other than the probationary license issued herein, for a period of one year from the effective date of the Order adopting

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this Stipulation and Waiver. A new probationary license issued solely upon a change of location or increase in capacity is not prohibited by this paragraph.

- B. Respondent understands and agrees that the Department may deny any application submitted after one year from the effective date of the Order adopting this Stipulation and Waiver, in whole or in part based upon the findings in this Stipulation and Waiver. However, Respondent shall be entitled to a hearing subject to the appeal on the denial of the license and the timely filing of a Notice of Defense after the Department has served Respondent with a Statement of Issues.
- 5. <u>COMPLETION OF PROBATION</u>: If Respondent has successfully complied with the terms of this Stipulation, at the end of 18 months from the effective date, the conditions imposed upon Respondent's license will expire and Respondent's license shall be granted or restored in full.
- 6. <u>VIOLATION OF STIPULATION AND WAIVER TERM</u>: Respondent agrees that violation of any of the terms of probation or any of the other terms of this Stipulation shall constitute sufficient grounds for the revocation of the probationary license granted herein. In such an event, Respondent shall be entitled to an administrative hearing before the Office of Administrative Hearings on the sole issues of whether there was a serious or substantial violation of a material term and/or condition herein, and whether Respondent has caused and/or permitted such a violation. Upon a finding that a condition or term of probation or any of the other terms of this Stipulation was violated, the probationary license shall be revoked. Respondent agrees that valid service of an accusation to revoke the probationary license provided herein may be effectuated by certified mail at 1123 12th Street, Sacramento, California 95814, or at an address listed in a subsequently issued probationary license.

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- 7. <u>DEPARTMENT'S AUTHORITY</u>: The fact that the Department may decline or omit to take immediate disciplinary action for a violation of a condition of probation or any of the other terms of this Stipulation does not constitute a waiver by the Department of the right to raise that violation at a later date in a disciplinary proceeding or in any other context. Respondent understands that nothing in this Stipulation is to be construed to limit the authority of the Department to impose discipline for violations of statutes and regulations applicable to Respondent. If any accusation seeking to revoke probation is filed by the Department during the period of probation, then the period of probation and the probationary terms shall be extended, if necessary, beyond two years and shall remain in force and effect until such time as the Department issues a final Decision and Order on the accusation.
- MONITORING FEE: Respondent understand that it must pay a 8. probation monitoring fee equal to the annual fee for the license during the period of probation as required by Health and Safety Code Section 1596.803.
- 9. WAIVER OF HEARING RIGHTS: The parties waive their rights to a hearing in this matter, to present any evidence on their behalf and to cross-examine witnesses testifying on the other party's behalf. The parties further waive their rights to further discovery in this matter.
- 10. WAIVER OF APPEAL/MODIFICATION RIGHTS: Respondent waives all rights of review arising out of this action or this Stipulation or the Order implementing it, including but not limited to a petition for reinstatement, reduction of penalty, or rehearing, writ of administrative mandamus, any other judicial or administrative review or any other right or ability Respondent may have to seek to have this agreement modified or set aside on any grounds whatsoever.

- 11. <u>WAIVER OF CLAIMS</u>: The parties waive all known or unknown legal actions or claims against each other, or their employees or agents, that they may have acquired or come to acquire arising out of this matter, with the following exceptions:
 - A. Civil penalties;
 - B. Monitoring fees; and
 - C. Any action arising out of an audit or other review to establish, modify, preserve, enforce, or to recover an overpayment or to reimburse an underpayment of public or private funds.
- 12. <u>PUBLIC RECORD</u>: This Stipulation is a public record as required by section 11517(e) of the Government Code. It is accessible to the public pursuant to the Public Records Act, section 6250 <u>et seq.</u> of the Government Code.
- 13. <u>SIGNATURES</u>: A facsimile of the signature page of this Stipulation will bind the signing party or parties to the terms and conditions herein once any remaining party or parties execute the document and once the Order is executed.
 - 14. COUNTERPARTS: This Stipulation may be executed in counterparts.
- 15. <u>EFFECTIVE DATE</u>: This Stipulation and Waiver is effective on the date on which the Department's Order adopting it is executed.
- 16. NO ORAL MODIFICATION: This Stipulation constitutes the entire agreement between the parties with respect to the Accusation in this case. Moreover, the terms of this Stipulation cannot be amended except in writing, signed by all the parties thereto.

Aug. 12	. 2009 TO: DZAM MONTESSOKI LEAKNING COMMONS No. 7790 P. 20
_. 1	IT IS SO STIPULATED;
2	august 12, 2009 Cal Louis
3	
4	Authorized Representative for The 12th Street Collaborative for Montessori Respondent
5	
6	DATED JOSHUA KAIZUKA
7	Attorney for Respondent
8	8/24/09
9	DATED SEAMP. AVALOS Senior Staff Counsel
10	Attorney for Complainant
11	DATED IN JEFEREY HIRATSUKA Complainant
12	DATED O': JEFFREY HIRATSUKA, Complainant Deputy Director Community Care Licensing Division Department of Social Services
13	
14	State of California
15	DECISION AND ORDER
16	The preceding Stipulation hereby is adopted by the Department as its
17	Decision in this matter.
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19	IT IS SO ORDERED THIS 25th DAY OF September 2009
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22	Mys// Jun
23	JAMES D. SIMON Assistant Chief Counsel
24	Legal Division
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	Montessori/Stipulation stayed with probation.docx 10